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Antidepressant Drug Effects and Depression Severity
A Patient-Level Meta-analysis

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Context Antidepressant medications represent the best established treatment for major depressive disorder, but there is little evidence that they have a specific pharmacological effect relative to pill placebo for patients with less severe depression.

Objective To estimate the relative benefit of medication vs placebo across a wide range of initial symptom severity in patients diagnosed with depression.

Data Sources PubMed, PsycINFO, and the Cochrane Library databases were searched from January 1980 through March 2009, along with references from meta-analyses and reviews.

Study Selection Randomized placebo-controlled trials of antidepressants approved by the Food and Drug Administration in the treatment of major or minor depressive disorder were selected. Studies were included if their authors provided the requisite original data, they comprised adult outpatients, they included a medication vs placebo comparison for at least 6 weeks, they did not exclude patients on the basis of a placebo washout period, and they used the Hamilton Depression Rating Scale (HDRS). Data from 6 studies (718 patients) were included.

Data Extraction Individual patient-level data were obtained from study authors.

Results Medication vs placebo differences varied substantially as a function of baseline severity. Among patients with HDRS scores below 23, Cohen $d$ effect sizes for the difference between medication and placebo were estimated to be less than 0.20 (a standard definition of a small effect). Estimates of the magnitude of the superiority of medication over placebo increased with increases in baseline depression severity and crossed the threshold defined by the National Institute for Clinical Excellence for a clinically significant difference at a baseline HDRS score of 25.

Conclusions The magnitude of benefit of antidepressant medication compared with placebo increases with severity of depression symptoms and may be minimal or nonexistent, on average, in patients with mild or moderate symptoms. For patients with very severe depression, the benefit of medications over placebo is substantial.

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placebo condition but found greater symptom change in ADM as baseline HDRS scores increased. Thus, both studies found that the greater the baseline symptom severity, the greater the magnitude of the difference favoring ADM over placebo. Kirsch et al inferred from their findings that the minimum baseline HDRS score needed to achieve a clinically meaningful ADM/placebo difference is approximately 28 and that differences are negligible for lower baseline HDRS scores.

One limitation to these meta-analyses is the restricted range of baseline severity scores included in their constituent studies. In the analysis by Kirsch et al, only 1 of 35 studies comprised samples with mean baseline HDRS scores lower than 23. As the authors noted, a score of 23 is characteristic of “very severe depression” according to the American Psychiatric Association’s Handbook of Psychiatric Measures (which defines mild depression as HDRS scores from 8-13, moderate depression from 14-18, severe depression from 19-22, and very severe depression as ≥23). Similarly, each of the studies included by Khan et al required a minimum entry score of 20 on the HDRS, meaning that all patients could be classified as severe or very severe. It is likely that a sizable proportion of depressed individuals who start ADM in the community present with severity levels well below this value. In fact, a recent survey of depressed, treatment-seeking outpatients found that 71% of the 503 patients assessed had HDRS scores less than 22. There has been a paucity of systematic investigations of the true effect of ADM in patients with less severe depression. Such data are scarce in the FDA database and in the published literature. This is partly the result of the inclusion criteria used for many FDA registration trials in which cutoff scores are imposed at baseline expressly to increase the sensitivity of ADM/placebo comparisons.

A second limitation of the Kirsch et al and Khan et al meta-analyses is that each included studies that used a placebo washout period. Typically, placebo washouts last from several days to 2 weeks, during which patients are administered a pill placebo in single-blind fashion. At the end of this period, patients who demonstrate an improvement of a particular magnitude (typically ≥20% on the HDRS) are excluded from the trial prior to randomization. The goal of this procedure is to increase the power to detect differences in efficacy between ADM and placebo by removing known placebo responders at the outset. Although it is not clear that placebo washouts actually enhance the statistical power of ADM/placebo comparisons, this design feature severely limits the ability to generate accurate estimates of the placebo response rate. Because early placebo responders are removed from the trial before they can contribute data, the true rate of placebo response may be underestimated in trials that use this feature.

In the present study, we combined data from 6 large-scale, placebo-controlled trials that comprised patients with a broad range of baseline symptom severity. Because most MDD studies incorporate a minimum baseline depressive severity score as an inclusion criterion, studies of minor depressive disorder (which do not typically have such strict thresholds) were included in this analysis as well. The entry criteria allowed patients to enter these studies with HDRS scores that ranged from the low teens to the upper 30s. Unlike the data analyzed by Kirsch et al and Khan et al, which contained information only at the level of treatment group and thus could support only standard meta-analytic procedures, the databases from the 6 studies included in the present investigation provided data for a patient-level meta-analysis, also known as a mega-analysis. This approach is more appropriate and more powerful than a standard meta-analysis when original data are available and a fine-grained multivariate analysis is desired. Based on the findings of Kirsch et al and Khan et al, we hypothesized that ADM/placebo differences would become larger as baseline severity increased.

METHODS

English-language articles from January 1980 through March 2009 were searched in the electronic databases PubMed and PsycINFO using the following search criteria: antidepressants* and randomization* and placebo and depression and (treatment or trial). The Cochrane Library was searched using the following terms as key words: antidepressants* and placebo and depression. No further restrictions were imposed on either search. We also examined the reference sections of meta-analyses and reviews to identify relevant randomized controlled trials.

The criteria for inclusion required studies to be randomized placebo-controlled trials of an FDA-approved antidepressant in the treatment of the full range of patients with major or minor depressive disorder (ie, studies that exclusively examined special populations or subtypes were excluded as were studies that exclusively examined patients diagnosed solely with dysthymia). The studies were restricted to adult outpatient samples; those that included children or adolescents below the age of 18 years were excluded. In addition, the studies had to include an ADM/placebo comparison of at least 6 weeks’ duration and HDRS scores at intake and at the end of treatment. Studies were excluded if they excluded patients on the basis of a placebo washout period. The final inclusion criterion was that individual patient-level data had to be available for analysis.

Article Selection and Data Acquisition

The initial screening of the search results was supervised (S.D. and J.C.F.) and reviewed (J.C.F.) to ensure accuracy. All selected articles were read by 2 authors (J.C.F. and either S.D. or S.D.H.) to determine whether they met inclusion criteria (with an average κ of 0.82). Discrepancies were resolved by consensus.
The corresponding authors of studies meeting the inclusion criteria were contacted to verify that the study did not exclude patients on the basis of a placebo washout period and to ascertain whether individual patient-level data were available. Authors were initially asked to respond within 3 weeks, and additional time was provided to allow those making a positive response the opportunity to provide the requested data. Figure 1 displays the results of the search and data acquisition strategies.

**Participants**

The sample consisted of participants from the ADM and pill-placebo conditions of 5 MDD trials—DeRubeis et al.,12 Dimidjian et al.,13 Elkin et al.,14 Philipp et al.,15 Wichers et al.16—and 1 minor depression trial, Barrett et al.13 Full descriptions of the study designs, sample characteristics, treatment protocols, and primary outcome findings have been reported elsewhere.11-16 Three studies used the tricyclic antidepressant imipramine14-16 and 3 used the selective serotonin reuptake inhibitor paroxetine.11-13 Table 1 lists characteristics that differ among the 6 studies. The pooled sample used in the current analyses included 434 patients in the ADM group and 284 patients in the placebo group. Individual baseline HDRS depression severity levels ranged from 10 to 39. In comparison with the 17 identified studies for which data were not available, the 6 included studies tended to have Jadad quality scores at the higher end of the range, to use flexible (as opposed to fixed) medication doses, and to provide more information about the samples in the original report (eTable, available at http://www.jama.com).

**Statistical Analyses**

Our primary statistical analysis investigated the relationship between baseline symptom severity and subsequent symptom change from intake to the end of acute treatment. We used a modified intent-to-treat approach whereby we used the most inclusive sample analyzed in the original publication of each of the 6 studies (Table 1). To investigate the association between initial severity and symptom change scores in ADM vs placebo, we conducted analyses of covariance that controlled for the effect of the study from which the data originated. For individuals who dropped out of treatment, we used the patient’s last score prior to dropout (last observation carried forward) to calculate the change score. Continuous variables were centered at their grand means, and nonsignificant higher-order interaction terms were removed from the models. Level of significance was set at \( P < .05 \).

**RESULTS**

**Study Characteristics**

Mean baseline depression severity scores and attrition rates for the 6 studies are displayed in Table 2. A \( 2 \times 6 \) (treatment \( \times \) study) analysis of variance was conducted to examine differences in levels of intake depression severity. The study \( \times \) treatment interaction was not significant and was removed from the model. Mean intake severity did not differ as a function of treatment condition (\( F_{1,711} = 0.05, P = .82 \)), but the 6 studies did show different mean intake severity levels, reflecting differences in inclusion criteria (\( F_{5,711} = 79.56, P < .001 \)). Attrition rates were compared in a logistic regression model examining the effects of study, treatment, and the study \( \times \) treatment interaction. The study \( \times \) treatment interaction term was not significant and was removed from the model. Attrition rates did not differ significantly as a function of treatment condition (\( \chi^2 = 0.47, P = .49 \)), but differences did emerge in the rates of attrition among the 6 studies (\( \chi^2 = 30.34, P < .001 \) (Table 2).

**Baseline Severity and Symptom Change in ADM and Placebo**

Pooling the data across the 6 studies, the severity \( \times \) treatment interaction (the statistic of primary interest in this investigation) was significant in a model that predicted depression change scores controlling for study of origin (\( F_{1,709} = 9.31, P = .002 \)). The main effects of baseline severity (\( F_{1,709} = 59.54, P < .001 \)) and treatment (\( F_{1,709} = 12.51, P < .001 \)) were also significant.

As displayed in Figure 2, the regression coefficient (ie, the slope representing the relation between initial severity and change in symptoms) was positive for both ADM (\( b = 0.70, t_{709} = 8.49, P < .001 \)) and placebo (\( b = 0.36, t_{709} = 3.87, P < .001 \)). The difference in the slopes of the 2 regression lines, \( b = 0.34 \), represents the interaction effect described earlier in this section. The 2 regression lines converged near the lower end of the range of baseline severity scores.
and the magnitude of the difference between the treatments increased with increasing baseline depression severity. To illustrate the magnitude of the difference between the 2 treatments as a function of initial depression severity, we divided the sample into 3 groups based on the characterizations of the HDRS scores offered by the American Psychiatric Association: mild to moderate, HDRS score of 18 or less (n=180); severe, HDRS score of 19 to 22 (n=255); and very severe, HDRS score of 23 or greater (n=283). For patients in the mild to moderate range, the Cohen d effect size was d=0.11 (95% confidence interval, [CI], –0.18 to 0.41) and for patients in the severe range, d=0.17 (95% CI, –0.08 to 0.43). Both values were below the standard description of a small effect (d=0.20). For patients in the very severe group, d=0.47 (95% CI, 0.22 to 0.71). This value was just below 0.50, the accepted cutoff for a medium effect size. We also converted these d effect sizes into estimates of the number of patients needed to treat (NNT) to increase by 1 the number of patients in the treatment group who would have a better outcome than a randomly selected patient from the control group. Number-needed-to-treat values were estimated to be 16, 11, and 4 for the mild to moderate, severe, and very severe subgroups, respectively.

The National Institute for Clinical Excellence (NICE) of the National Health Service in England has defined...
a threshold for clinical significance as an effect size of 0.50 or a drug/placebo difference of 3 points on the HDRS.20 Using least-squares means from the primary model described earlier in this section, we estimated that this threshold was met for intake HDRS scores of 25 or greater, using the more liberal of the 2 criteria (a difference in HDRS scores of ≥3 points). To examine the more conservative threshold defined by $d=0.50$, we estimated Cohen $d$ effect sizes, again using least-squares means estimates from the primary model. Drug/placebo differences were estimated to cross this threshold at an initial HDRS score of 27 (NNT = 4). When we divided the sample into subgroups using these 2 thresholds, the superiority of medications over placebo was associated with a medium-sized effect for patients with HDRS scores of 25 or greater ($d=0.53$; 95% CI, 0.19 to 0.86) and a large effect for patients with HDRS of 27 or greater ($d=0.81$; 95% CI, 0.30 to 1.32).

**Baseline Severity and Symptom Change for Patients With MDD**

To determine whether the pattern of results reported was evident in patients diagnosed with MDD, data from the Barrett et al11 study of minor depressive disorder were removed and the models were rerun. The severity $\times$ treatment interaction was again significant ($F_{1,631}=6.93$, $P=.009$). As before, the ADM/placebo difference was estimated to cross the NICE criteria at an initial baseline HDRS score of 25.

**Baseline Severity and Symptom Change for Completers**

To assess whether attrition might have biased the results, the primary analyses were repeated in a completers-only sample. Again the severity $\times$ treatment interaction was significant ($F_{1,597}=5.62$, $P=.02$). Among completers, the difference between ADM and placebo was estimated to cross the NICE threshold at an initial HDRS score of 24 (1 point lower than that observed for the entire sample). We also repeated the primary analysis using data only from the 3 studies with the lowest dropout rates.$^{12,13,15}$ Again, the interaction of interest was significant ($F_{1,452}=6.98$, $P<.01$).

**Drug Class**

Three of the studies used the selective serotonin reuptake inhibitor paroxetine as the active ADM, whereas the other 3 studies used the tricyclic antidepressant imipramine. To investigate whether baseline severity moderates treatment response in both drug classes, we conducted a secondary analysis in which we replaced the term representing ADM/placebo with a categorical variable representing medication type. As in the primary analysis, the severity $\times$ drug class interaction was significant ($F_{2,707}=4.41$, $P=.01$). Specific contrasts revealed that the regression coefficient (ie, the slope representing the relationship between initial severity and change in symptoms) was more positive for each medication class relative to placebo: imipramine, $F_{1,707}=5.60$, $P=.02$, and paroxetine, $F_{1,707}=5.91$, $P=.02$.

**COMMENT**

The present findings indicate that the efficacy of ADM treatment for depression varies considerably as a function of symptom severity. True drug effects (an advantage of ADM over placebo) were nonexistent to negligible among depressed patients with mild, moderate, and even severe baseline symptoms, whereas they were large for patients with very severe symptoms. For baseline severity scores on the HDRS less than 25, estimates of the magnitudes of drug/placebo differences did not meet either of the 2 thresholds for clinical significance proposed by NICE.20 Conversely, for patients with the highest levels of baseline depression severity, ADM was markedly superior to placebo.

As documented in the analysis by Zimmerman et al of published efficacy trials, as well as in the analyses by Kirsch et al and Khan et al of studies submitted to the FDA, evidence concerning the effects of ADM in patients with mild and moderate MDD has been sparse. Our findings add substantially to knowledge of the effects of ADM across the full range of symptom severity in patients diagnosed with depression. These findings are consistent with an understanding that has informed the entry criteria used in ADM registration trials, in which cutoff scores of 18 or greater typically have been imposed. As noted by Zimmerman et al,
using such cutoffs can be expected to exclude nearly half of all patients who meet diagnostic criteria for MDD.

We note several limitations of the present inquiry. First, all of the studies used in the current investigation imposed a minimum baseline severity criterion. Because only a small proportion of the patients registered baseline HDRS scores of 13 or lower, the results of the current investigation may not generalize to such individuals. Second, when a minimum score at intake is required for study entry, study diagnosticians sometimes inadvertently inflate the scores of patients whose true score is just below the cutoff. We have no evidence that this occurred in the current data sets, but if it did, it should have worked against the hypothesis that severity moderates outcome. Moreover, the inclusion of studies with different minimum severity levels should have mitigated any bias that such rater inflation might have caused. Third, scores on the HDRS were used as the primary outcome measure for all analyses. The HDRS has been the most commonly used measure of depression symptom severity in clinical trials of ADM, but the measure's psychometric properties have been criticized. Future efforts might use alternative symptom measures to examine the effects of baseline severity on treatment outcome. Fourth, because few studies in the literature report the magnitude of the baseline severity × treatment interaction effect, it is difficult to assess the role of publication bias in this report. For a detailed account of publication bias regarding the main effect of ADM, see Turner et al. Finally, the results reported herein apply to acute treatment only and not to continuation or maintenance treatment.

Despite differences in methods, our findings are consistent with those of both Kirsch et al and Khan et al that ADM/placebo differences increase as initial severity increases. We used individual patient data and included patients with less severe depression, whereas both Kirsch et al and Khan et al analyzed group means that largely excluded patients with HDRS scores below 20. Moreover, both Kirsch et al and Khan et al included studies that screened out pill-placebo responders prior to randomization, whereas the studies from which our data were drawn did not.

Given these differences, the consistency of the primary finding across the 3 reviews is striking. However, there also were subtle differences in the pattern of findings across the 3 investigations that likely reflect additional differences in methodology. For example, using within-group effect sizes, Kirsch et al found that initial severity was unrelated to outcome among patients treated with ADM but negatively related to outcome among placebo patients, whereas using between-group comparisons, Khan et al found that initial severity predicted greater symptom change among ADM patients (as did we using individual patient data) but was unrelated with respect to placebo patients (whereas we found a small positive relationship). Given these inconsistencies, it would be premature to speculate regarding whether the increasing superiority of ADM relative to placebo as severity increases is due to an increasing efficacy of ADM or a declining efficacy of placebo. Such a distinction depends, in part, on the index of change that is chosen.

Several studies have demonstrated that ADM is superior to placebo for patients diagnosed with dysthymia, a condition partly defined by lower symptom levels relative to MDD. The dysthymia studies indicate that ADM can produce a true drug effect in patients with mild or moderate depressive symptoms. However, dysthymia is by definition a chronic condition, and chronicity is known to be associated with poor response to placebo. Thus, it may be the chronic nature of dysthymia that explains the advantage of ADM over placebo in this condition. Future work should examine whether chronicity moderates ADM/placebo differences across the range of baseline severity.

The general pattern of results reported in this work is not surprising. As early as the 1950s, researchers conducting controlled investigations of treatments for a wide variety of medical and psychiatric conditions described a phenomenon whereby patients with higher levels of severity showed greater differential (ie, specific) benefit from the active treatments. What makes our findings surprising is the high level of depression symptom severity that appears to be required for clinically meaningful drug/placebo differences to emerge, particularly given the evidence that the majority of patients receiving ADM in clinical practice present with scores below these levels.

Prescribers, policy makers, and consumers may not be aware that the efficacy of medications largely has been established on the basis of studies that have included only those individuals with more severe forms of depression. This important feature of the evidence base is not reflected in the implicit messages present in the marketing of these medications to clinicians and the public. There is little mention of the fact that efficacy data often come from studies that exclude precisely those MDD patients who derive little specific pharmacological benefit from taking medications. Pending findings contrary to those reported here and those obtained by Kirsch et al and Khan et al, efforts should be made to clarify to clinicians and prospective patients that whereas ADM can have a substantial effect with more severe depressions, there is little evidence to suggest that they produce specific pharmacological benefit for the majority of patients with less severe acute depressions.

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Drafting of the manuscript: Fournier, DeRubeis, Hollon.

Critical revision of the manuscript for important intellectual content: Fournier, DeRubeis, Hollon, Dimidjian, Amsterdam, Shelton, Fawcett.

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